

CHAPTER 8**REPORTS . INVESTIGATIVE AIDS. AND
DISPOSITION OF RECORDS****A. GENERAL**

This Chapter does not prevent the submission of other reports, such as the requirements in AR **55-38/NAVSUPINST 4610.33B./AFR 75-18/MCOP 4610.19C/DLAR 4500.15** (reference (v)), DLAR 4155.55 et al (reference (w)), DLAR 4140.60 et al (reference (x)). This Chapter does not apply to privately owned weapons.

B. INCIDENT REPORTS TO COMPONENTS

1. Each DoD Component shall establish procedures to ensure receipt of a report of sensitive arms, **ammunition**, and explosives stolen, lost, unaccounted for, or recovered, including gains or losses due to inventory adjustments in accordance with DoD 4000.25-2-M (reference (j)), DoD 7220.9-M (reference (r)). Notification shall be furnished in a manner commensurate with the seriousness or nature of the incident. The confirmed loss, theft, recovery, or inventory adjustment of the following shall be reported:

a. Missiles, rockets, and arms as set forth in Appendix A.

b. Five-thousand rounds (or 20,000 rounds of .38 caliber) or more of ammunition smaller than **40mm**; five rounds **or** more of 40mm ammunition and larger.

c. Individual mortar, grenade, and missile rounds.

d. Individual land mines, demolition charges, and blocks of bulk explosives.

e. Other items with 10 or more pounds of net explosive weight.

f. Any Category I or Category II item not otherwise included above.

2. The Director, Defense Security Programs, **ODASD(CI&SCM)**, **ODASD(C3I)**, shall be provided information by telephone, facsimile, or electronic message from the DoD Components concerned of all significant incidents of confirmed theft, **loss, or** unaccounted for, AA&E as soon as possible, but not later than 72 hours after occurrence or discovery. Generally, theft of the following AA&E shall be considered significant:

a. One or more missile or rocket rounds.

b. One or more machine guns .

c. One or more automatic fire weapons.

d. Twenty-five or more manually operated or semi-automatic weapons (includes revolvers and semi-automatic pistols).

e. Ammunition.

(1) Over 5,000 rounds . (or 20,000 rounds of .38 caliber) or more of ammunition smaller than **40mm**; five rounds or more of 40mm and larger.

(2) **Any** fragmentation, concussion, or high explosive grenade including artillery or ground burst simulators, or other type of simulator or device containing explosive materials.

f. One or more mines (antipersonnel and antitank).

g. Demolition explosives including detonation cord, blocks of

explosives (C-4), and other explosives.

h. Also reportable are:

(1) Armed robberies and attempted armed robberies.

(2) Forced entries or attempted forced entries in which there is physical evidence of the attempt.

(3) Any evidence of terrorist involvement.

(4) Incidents that cause significant news coverage, or appear to have the potential to cause such coverage.

(5) Any evidence of trafficking, such as bartering for narcotics or any other thing of value, to include taking **AA&E** across international borders, regardless of the quantity of **AA&E** involved.

3. Each DoD Component shall maintain records including all instances of thefts, losses, and recoveries of **AA&E**, including any reported under subsection **B.2.**, above. These records shall include:

a. A summary of the circumstances in each instance.

b. Dates, locations, and units such as Regular, Reserve, National Guard, or Director of Civilian Marksmanship.

c. A statement on the loss or recovery based on the investigation, such as inventors theft, in-transit, training, operational, or other.

4* The DoD Components shall provide the Director, Defense Security Programs, **ODASD(CI&SCM)**, **OASD(C3I)**, trend analysis and threat assessment studies to identify

necessary remedial actions to reduce theft. The Military Departments shall present their findings annually at the DoD Physical Security Review Board (**PSRB**).

C. OTHER REQUIRED REPORTING

1. The DoD Components shall establish procedures to ensure that the appropriate information is submitted to the National Crime Information **Center (NCIC)** promptly upon discovery of a confirmed loss, recovery, or theft of DoD arms. A **Small** arms confirmed loss, recovery, or theft shall be reported to the DoD registry in accordance with DoD 4000.25-2-M (reference (j)) and DoD 4140.52 (reference (q)).

2. A report shall be submitted by the **DoD** criminal investigative organization responsible, to the Bureau of Alcohol, Tobacco and Fire Arms (**BATF**) Intelligence Division BATF Headquarters, Department of the Treasury, Washington, **D.C.** 20226 within 72 hours of the confirmed theft or loss of **AA&E** as described in paragraph B.2 above. Liaison should also be maintained with the ATF office in that area.

a. The information requested by ATF is outlined in BATF Form **3270.19**, which is **provided** as Appendix F of this Manual.

b. Occurrences outside the United States also shall be reported to the **BATF**, Intelligence Division.

3. Appropriate information on the theft of **AA&E** shall also be provided to local Federal Bureau of Investigation (FBI) offices and local police, as appropriate.

4. Information relative to the actual or suspected compromise of classified **AA&E** shipments shall be transmitted by the DoD Component concerned to their intelligence

support channels in accordance with DoD 5200.1-R (reference (o)).

D. DISPOSITION OF RECORDS

1. The DoD Components shall establish procedures to ensure that records reflect the final disposition of investigative action, including recoveries and disciplinary action, as appropriate.

2. Information on the final disposition of investigative action shall be made to the Director, Defense Security Programs, **ODASD(CI&SCM), OASD(C3I)**, in accordance with subsections B.2. and 3., above.